

INTERNATIONAL JOURNAL OF ENGINEERING SCIENCES & RESEARCH TECHNOLOGY

ZEROS OF A CERTAIN CLASS OF POLYNOMIALS

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DOI: 10.5281/zenodo.345695

ABSTRACT

In this paper we locate the regions containing all or some of the zeros of a certain class of polynomials subjected to certain coefficient conditions.

Mathematics Subject Classification: 30C10, 30C15.

KEYWORDS: Coefficients, Polynomial, Zeros.

INTRODUCTION

The famous Theorem of Enestrom-Kakeya [9] states that all the zeros of a polynomial $P(z) = \sum_{j=0}^n a_j z^j$

satisfying $a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0$ lie in $|z| \leq 1$. In the literature there exist several generalizations and refinements of this theorem[1-11]. Very recently Gulzar et al [7] proved the following result:

Theorem A: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that for some $\lambda, 0 \leq \lambda \leq n-1$ and for some $k \geq 1, o < \tau \leq 1$,

$$ka_n \geq a_{n-1} \geq \dots \geq \tau a_\lambda$$

and

$$L = |a_\lambda - a_{\lambda-1}| + |a_{\lambda-1} - a_{\lambda-2}| + \dots + |a_1 - a_0| + |a_0|.$$

Then all the zeros of $P(z)$ lie in

$$|z + k - 1| \leq \frac{ka_n - \tau a_\lambda + (1-\tau)|a_\lambda| + L}{|a_n|}.$$

Theorem B: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, 0 \leq \lambda \leq n-1$ and for some $k \geq 1, o < \tau \leq 1$,

$$k\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_{\lambda+1} \geq \tau\alpha_\lambda$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|.$$

Then the number of zeros of $P(z)$ in $|z| \leq \delta, 0 < \delta < 1$ does not exceed

$$\frac{1}{\log \frac{1}{\delta}} \log \frac{|a_n| + (k-1)|\alpha_n| + k\alpha_n - \tau\alpha_\lambda + L + (1-\tau)|\alpha_\lambda| + 2 \sum_{j=0}^n |\beta_j|}{|a_0|}.$$

MAIN RESULTS

In this paper we prove the following results:

Theorem 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1; 0 < \tau_1, \tau_2 \leq 1$,

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \tau_1 \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \tau_2 \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then all the zeros of $P(z)$ lie in

$$\left| z + \frac{(k-1)\alpha_n + i(k_2-1)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1 (\alpha_\lambda + |\alpha_\lambda|) - \tau_2 (\beta_\mu + |\beta_\mu|)]$$

Remark 1: If a_j is real i.e. $\beta_j = 0, \forall j = 0, 1, \dots, n; k_1 = k, \tau_1 = \tau$, Theorem 1 reduces to Theorem A.

Taking $\tau_1 = \tau_2 = 1$, Theorem 1 gives the following result:

Corollary 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1$,

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then all the zeros of $P(z)$ lie in

$$\left| z + \frac{(k-1)\alpha_n + i(k_2-1)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [k_1 \alpha_n + k_2 \beta_n + L + M - \alpha_\lambda - \beta_\mu].$$

Taking $k_1 = k_2 = \tau_1 = \tau_2 = 1$, Theorem 1 gives the following result:

Corollary 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_\lambda,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then all the zeros of $P(z)$ lie in

$$|z| \leq \frac{1}{|a_n|} [\alpha_n + \beta_n + L + M - \alpha_\lambda - \beta_\mu].$$

Next, we prove the following result:

Theorem 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1; 0 < \tau_1, \tau_2 \leq 1$,

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \tau_1 \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \tau_2 \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then the number of zeros of $P(z)$ in $\frac{|a_0|}{K} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M}{|a_0|}$ for $R \geq 1$ and the number of zeros of $P(z)$ in $\frac{|a_0|}{K'} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M'}{|a_0|}$ for $R \leq 1$, where

$$K = |a_n| R^{n+1} + R^n [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu) - |\alpha_0| - |\beta_0|],$$

$$K' = |a_n| R^{n+1} + R [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu) - |\alpha_0| - |\beta_0|],$$

$$M = |a_n| R^{n+1} + R^n [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu)],$$

$$M' = |a_n| R^{n+1} + R [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu)],$$

$$M'' = |a_n| R^{n+1} + R [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu)],$$

$$-\tau_2(|\beta_\mu| + \beta_\mu)] + (1-R)(|\alpha_0| + |\beta_0|) .$$

Taking $\tau_1 = \tau_2 = 1$, Theorem 2 gives the following result:

Corollary 3: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1$;

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then the number of zeros of $P(z)$ in $\frac{|a_0|}{K} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M}{|a_0|}$ for $R \geq 1$ and the number of zeros of $P(z)$ in $\frac{|a_0|}{K'} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M'}{|a_0|}$ for $R \leq 1$, where

$$K = |a_n| R^{n+1} + R^n \{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + L + M - \alpha_\lambda - \beta_\mu - |\alpha_0| - |\beta_0|\},$$

$$K' = |a_n| R^{n+1} + R \{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + L + M - \alpha_\lambda - \beta_\mu - |\alpha_0| - |\beta_0|\},$$

$$M = |a_n| R^{n+1} + R^n [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + L + M - \alpha_\lambda - \beta_\mu],$$

$$M' = |a_n| R^{n+1} + R [(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + L + M - \alpha_\lambda - \beta_\mu] + (1-R)(|\alpha_0| + |\beta_0|)$$

Taking $k_1 = k_2 = \tau_1 = \tau_2 = 1$, Theorem 2 gives the following result:

Corollary 4: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_\lambda,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then the number of zeros of $P(z)$ in $\frac{|a_0|}{K} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M}{|a_0|}$ for $R \geq 1$ and the number of zeros of $P(z)$ in $\frac{|a_0|}{K'} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$\frac{1}{\log c} \log \frac{M'}{|a_0|}$ for $R \leq 1$, where

$$K = |a_n|R^{n+1} + R^n\{\alpha_n + \beta_n + L + M - \alpha_\lambda - \beta_\mu - |\alpha_0| - |\beta_0|\},$$

$$K' = |a_n|R^{n+1} + R\{\alpha_n + \beta_n + L + M - \alpha_\lambda - \beta_\mu - |\alpha_0| - |\beta_0|\},$$

$$M = |a_n|R^{n+1} + R^n[\alpha_n + \beta_n + L + M - \alpha_\lambda - \beta_\mu],$$

$$M' = |a_n|R^{n+1} + R[\alpha_n + \beta_n + L + M - \alpha_\lambda - \beta_\mu] + (1-R)(|\alpha_0| + |\beta_0|).$$

Taking $R=1$ and $c = \frac{1}{\delta}$, $0 < \delta < 1$ in Theorem 2, we get the following result:

Corollary 5: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1; 0 < \tau_1, \tau_2 \leq 1$,

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \tau_1 \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \tau_2 \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then the number of zeros of $P(z)$ in $\frac{|a_0|}{K} \leq |z| \leq \delta, 0 < \delta < 1$ is less than or equal to

$\frac{1}{\log \frac{1}{\delta}} \log \frac{M}{|a_0|}$, where

$$K = |a_n| + (k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda)$$

$$- \tau_2(|\beta_\mu| + \beta_\mu) - |\alpha_0| - |\beta_0|,$$

$$M = |a_n| + (k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda)$$

$$- \tau_2(|\beta_\mu| + \beta_\mu).$$

In particular for $\delta = \frac{1}{2}$, Cor.5 gives the following result:

Corollary 6: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with

$\operatorname{Re}(a_j) = \alpha_j, \operatorname{Im}(a_j) = \beta_j, j = 0, 1, 2, \dots, n$ such that for some $\lambda, \mu, 0 \leq \lambda \leq n-1, 0 < \mu \leq 1$ and for some $k_1, k_2 \geq 1; 0 < \tau_1, \tau_2 \leq 1$,

$$k_1 \alpha_n \geq \alpha_{n-1} \geq \dots \geq \tau_1 \alpha_\lambda,$$

$$k_2 \beta_n \geq \beta_{n-1} \geq \dots \geq \tau_2 \beta_\mu,$$

and

$$L = |\alpha_\lambda - \alpha_{\lambda-1}| + |\alpha_{\lambda-1} - \alpha_{\lambda-2}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0|,$$

$$M = |\beta_\mu - \beta_{\mu-1}| + |\beta_{\mu-1} - \beta_{\mu-2}| + \dots + |\beta_1 - \beta_0| + |\beta_0|.$$

Then the number of zeros of $P(z)$ in $\frac{|a_0|}{K} \leq |z| \leq \frac{1}{2}$ is less than or equal to

$$\frac{1}{\log 2} \log \frac{M}{|a_0|}, \text{ where}$$

$$K = |a_n| + (k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|,$$

$$M = |a_n| + (k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|).$$

For other different choices of the parameters, we get many other interesting results.

Lemmas

For the proof of Theorem 2, we make use of the following lemmas:

Lemma 1: Let $f(z)$ (not identically zero) be analytic for $|z| \leq R$, $f(0) \neq 0$ and $f(a_k) = 0$, $k = 1, 2, \dots, n$.

Then

$$\frac{1}{2\pi} \int_0^{2\pi} \log |f(\operatorname{Re}^{i\theta})| d\theta - \log |f(0)| = \sum_{j=1}^n \log \frac{R}{|a_j|}.$$

Lemma 1 is the famous Jensen's Theorem (see page 208 of [1]).

Lemma 2: Let $f(z)$ be analytic, $f(0) \neq 0$ and $|f(z)| \leq M$ for $|z| \leq R$. Then the number of zeros of $f(z)$ in

$$|z| \leq \frac{R}{c}, c > 1 \text{ is less than or equal to } \frac{1}{\log c} \log \frac{M}{|f(0)|}.$$

Lemma 2 is a simple deduction from Lemma 1.

PROOFS OF THEOREMS

Proof of Theorem 1: Consider the polynomial

$$F(z) = (1-z)P(z) \\ = (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ = -a_n z^{n+1} + (a_n - a_{n-1}) z^n + \dots + (a_{\lambda+1} - a_\lambda) z^{\lambda+1} + (a_\lambda - a_{\lambda-1}) z^\lambda \\ + \dots + (a_1 - a_0) z + a_0 \\ = -a_n z^{n+1} - (k_1 - 1)\alpha_n z^n + (k_1 \alpha_n - \alpha_{n-1}) z^n + (\alpha_{n-1} - \alpha_{n-2}) z^{n-1} \dots + (\alpha_{\lambda+1} - \tau_1 \alpha_\lambda) z^{\lambda+1} \\ + (\tau_1 - 1)\alpha_\lambda z^{\lambda+1} + (\alpha_\lambda - \alpha_{\lambda-1}) z^\lambda + \dots + (\alpha_1 - \alpha_0) z + \alpha_0 + i\{(k_2 \beta_n - \beta_{n-1}) z^n \\ - (k_2 - 1)\beta_n z^n + (\beta_{n-1} - \beta_{n-2}) z^{n-1} + \dots + (\beta_{\mu+1} - \tau_2 \beta_\mu) z^{\mu+1} + (\tau_2 - 1)\beta_\mu z^{\mu+1} \\ + (\beta_\mu - \beta_{\mu-1}) z^\mu + \dots + (\beta_1 - \beta_0) z + \beta_0\}$$

For $|z| > 1$ so that $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$, we have, by using the hypothesis

$$|F(z)| \geq |a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| |z|^n - [(|k_1 \alpha_n - \alpha_{n-1}| |z|^n + |\alpha_{n-1} - \alpha_{n-2}| |z|^{n-1} \dots + |\alpha_{\lambda+1} - \tau_1 \alpha_\lambda| |z|^{\lambda+1})$$

$$\begin{aligned}
 & + |\tau_1 - 1| |\alpha_\lambda| |z|^{\lambda+1} + |\alpha_\lambda - \alpha_{\lambda-1}| |z|^\lambda + \dots + |\alpha_1 - \alpha_0| |z| + |\alpha_0| + |k_2 \beta_n - \beta_{n-1}| |z|^n \\
 & + |\beta_{n-1} - \beta_{n-2}| |z|^{n-1} + \dots + |\beta_{\mu+1} - \tau_2 \beta_\mu| |z|^{\mu+1} + |\beta_{\mu-1} - \beta_{\mu-2}| |z|^\mu + \dots \\
 & + (|\beta_1 - \beta_0| |z| + |\beta_0|) \\
 = & |z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| - \{|k_1 \alpha_n - \alpha_{n-1}| + \frac{|\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots \\
 & + \frac{|\alpha_{\lambda+1} - \tau_1 \alpha_\lambda|}{|z|^{n-\lambda-1}} + \frac{(1 - \tau_1)|\alpha_\gamma|}{|z|^{n-\lambda-1}} + \frac{|\alpha_\lambda - \alpha_{\lambda-1}|}{|z|^{n-\lambda}} + \dots + \frac{|\alpha_1 - \alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} \\
 & + |k_2 \beta_n - \tau_2 \beta_{n-1}| + \frac{|\beta_{n-1} - \beta_{n-2}|}{|z|} + \dots + \frac{|\beta_{\mu+1} - \tau_2 \beta_\mu|}{|z|^{n-\mu-1}} + \frac{|\beta_\mu - \tau_2 \beta_{\mu-1}|}{|z|^{n-\mu}} \\
 & + \dots + \frac{|\beta_1 - \beta_0|}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n})] \\
 > & |z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| - \{|k_1 \alpha_n - \alpha_{n-1}| + |\alpha_{n-1} - \alpha_{n-2}| + \dots \\
 & + |\alpha_{\lambda+1} - \tau_1 \alpha_\lambda| + (1 - \tau_1)|\alpha_\lambda| + |\alpha_\lambda - \alpha_{\lambda-1}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| \\
 & + |k_2 \beta_n - \beta_{n-1}| + |\beta_{n-1} - \beta_{n-2}| + \dots + |\beta_{\mu+1} - \tau_2 \beta_\mu| + (1 - \tau_2)|\beta_\mu| + |\beta_\mu - \beta_{\mu-1}| \\
 & + \dots + |\beta_1 - \beta_0| + |\beta_0|)] \\
 = & |z|^n [|a_n z + (k_1 - 1)\alpha_n| - \{k_1 \alpha_n - \alpha_{n-1} + \alpha_{n-1} - \alpha_{n-2} + \dots + \alpha_{\lambda+1} - \tau_1 \alpha_\lambda \\
 & + (1 - \tau_1)|\alpha_\lambda| + |\alpha_\lambda - \alpha_{\lambda-1}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| + k_2 \beta_n - \beta_{n-1} + \beta_{n-1} \\
 & - \beta_{n-2} + \dots + \beta_{\mu+1} - \tau_2 \beta_\mu + (1 - \tau_2)|\beta_\mu| + |\beta_\mu - \beta_{\mu-1}| + \dots + |\beta_1 - \beta_0| + |\beta_0| \\
 \geq & |z|^n [|a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| - \{k_1 \alpha_n + |\alpha_\lambda| + L - \tau_1(|\alpha_\lambda| + \alpha_\lambda) \\
 & + k_2 \beta_n + |\beta_\mu| + M - \tau_2(|\beta_\mu| + \beta_\mu)\}] \\
 > & 0
 \end{aligned}$$

if

$$\begin{aligned}
 |a_n z + (k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n| & > k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) \\
 & - \tau_2(|\beta_\mu| + \beta_\mu)
 \end{aligned}$$

i.e. if

$$\left| z + \frac{(k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n}{a_n} \right| > \frac{1}{|a_n|} [k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) \\
 - \tau_2(|\beta_\mu| + \beta_\mu)].$$

This shows that those zeros of F(z) whose modulus is greater than 1 lie in

$$\begin{aligned}
 \left| z + \frac{(k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n}{a_n} \right| & \leq \frac{1}{|a_n|} [k_1 \alpha_n + k_2 \beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) \\
 & - \tau_2(|\beta_\mu| + \beta_\mu)].
 \end{aligned}$$

Since the zeros of $F(z)$ whose modulus is less than or equal to 1 already satisfy the above inequality and since the zeros of $P(z)$ are also the zeros of $F(z)$, it follows that all the zeros of $P(z)$ lie in

$$\left| z + \frac{(k_1 - 1)\alpha_n + i(k_2 - 1)\beta_n}{a_n} \right| \leq \frac{1}{|a_n|} [k_1\alpha_n + k_2\beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu)].$$

That proves Theorem 1.

Proof of Theorem 2: Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{\lambda+1} - a_\lambda)z^{\lambda+1} + (a_\lambda - a_{\lambda-1})z^\lambda \\ &\quad + \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} - (k_1 - 1)\alpha_n z^n + (k_1\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} \dots + (\alpha_{\lambda+1} - \tau_1\alpha_\lambda)z^{\lambda+1} \\ &\quad + (\tau_1 - 1)\alpha_\lambda z^{\lambda+1} + (\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + i\{(k_2\beta_n - \beta_{n-1})z^n \\ &\quad - (k_2 - 1)\beta_n z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{\mu+1} - \tau_2\beta_\mu)z^{\mu+1} + (\tau_2 - 1)\beta_\mu z^{\mu+1} \\ &\quad + (\beta_\mu - \beta_{\mu-1})z^\mu + \dots + (\beta_1 - \beta_0)z\} + a_0 \\ &= G(z) + a_0, \end{aligned}$$

where

$$\begin{aligned} G(z) &= -a_n z^{n+1} - (k_1 - 1)\alpha_n z^n + (k_1\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} \dots + (\alpha_{\lambda+1} - \tau_1\alpha_\lambda)z^{\lambda+1} \\ &\quad + (\tau_1 - 1)\alpha_\lambda z^{\lambda+1} + (\alpha_\lambda - \alpha_{\lambda-1})z^\lambda + \dots + (\alpha_1 - \alpha_0)z + i\{(k_2\beta_n - \beta_{n-1})z^n \\ &\quad - (k_2 - 1)\beta_n z^n + (\beta_{n-1} - \beta_{n-2})z^{n-1} + \dots + (\beta_{\mu+1} - \tau_2\beta_\mu)z^{\mu+1} + (\tau_2 - 1)\beta_\mu z^{\mu+1} \\ &\quad + (\beta_\mu - \beta_{\mu-1})z^\mu + \dots + (\beta_1 - \beta_0)z\}. \end{aligned}$$

For $|z| = R$, we have, by using the hypothesis

$$\begin{aligned} |G(z)| &\leq |a_n| |R^{n+1}| + (k_1 - 1) |\alpha_n| |R^n| + (k_1\alpha_n - \alpha_{n-1}) |R^n| + (\alpha_{n-1} - \alpha_{n-2}) |R^{n-1}| + \dots + (\alpha_{\lambda+1} - \tau_1\alpha_\lambda) |R^{\lambda+1}| \\ &\quad + (1 - \tau_1) |\alpha_\lambda| |R^{\lambda+1}| + |\alpha_\lambda - \alpha_{\lambda-1}| |R^\lambda| + \dots + |\alpha_1 - \alpha_0| |R| + (k_2\beta_n - \beta_{n-1}) |R^n| + (k_2 - 1) |\beta_n| |R^n| \\ &\quad + (\beta_{n-1} - \beta_{n-2}) |R^{n-1}| + \dots + |\beta_{\mu+1} - \tau_2\beta_\mu| |R^{\mu+1}| + (1 - \tau_2) |\beta_\mu| |R^{\mu+1}| + (\beta_\mu - \beta_{\mu-1}) |R^\mu| \\ &\quad + \dots + |\beta_1 - \beta_0| |R| \\ &\leq |a_n| |R^{n+1}| + R^n [(k_1 - 1) |\alpha_n| + (k_2 - 1) |\beta_n| + k_1\alpha_n - \alpha_{n-1} + \alpha_{n-1} - \alpha_{n-2} + \dots + (\alpha_{\lambda+1} - \tau_1\alpha_\lambda) \\ &\quad + (1 - \tau_1) |\alpha_\lambda| + |\alpha_\lambda - \alpha_{\lambda-1}| + \dots + |\alpha_1 - \alpha_0| + |\alpha_0| - |\alpha_0| + k_2\beta_n - \beta_{n-1} + \beta_{n-1} \\ &\quad - \beta_{n-1} + \dots + \beta_{\mu+1} - \tau_2\beta_\mu + |\beta_\mu - \beta_{\mu-1}| + \dots + |\beta_1 - \beta_0| + |\beta_0| - |\beta_0|] \\ &= |a_n| |R^{n+1}| + R^n [(k_1 - 1) |\alpha_n| + (k_2 - 1) |\beta_n| + k_1\alpha_n + k_2\beta_n + |\alpha_\lambda| + |\beta_\mu| + L + M \\ &\quad - \tau_1(|\alpha_\lambda| + \alpha_\lambda) - \tau_2(|\beta_\mu| + \beta_\mu) - |\alpha_0| - |\beta_0|] \end{aligned}$$

for $R \geq 1$

and for $R \leq 1$

$$|G(z)| \leq |a_n| R^{n+1} + R[(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|].$$

Since $G(z)$ is analytic for $|z| \leq R$, $G(0) = 0$, it therefore follows by Schwarz Lemma that

$$|G(z)| \leq |a_n| R^{n+1} + R^n[(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|]$$

for $R \geq 1$

and

$$|G(z)| \leq |a_n| R^{n+1} + R^n[(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|]$$

for $R \leq 1$.

Therefore, for $|z| \leq R$

$$|G(z)| \leq [|a_n| R^{n+1} + R^n\{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|\}]|z|$$

for $R \geq 1$

and

$$|G(z)| \leq |a_n| R^{n+1} + R^n\{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|\}|z|$$

for $R \leq 1$.

Hence, for $|z| \leq R$

$$|F(z)| = |a_0 + G(z)|$$

$$\geq |a_0| - |G(z)|$$

$$\geq |a_0| - [|a_n| R^{n+1} + R^n\{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M$$

$$- \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|\}]|z|$$

$$> 0$$

if $|z| > \frac{|a_0|}{K}$, where

$$K = |a_n| R^{n+1} + R^n\{(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ - \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|\}$$

for $R \geq 1$

and

$$|F(z)| > 0$$

if $|z| > \frac{|a_0|}{K'}$ where

$$K' = |a_n|R^{n+1} + R^n \{ (k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|)$$

$$- \tau_2(|\beta_\mu| + |\beta_\mu|) - |\alpha_0| - |\beta_0|\}$$

for $R \leq 1$.

This shows that $F(z)$ and hence $P(z)$ has all its zeros in $\frac{|a_0|}{K} \leq |z|$ for $R \geq 1$ and in $\frac{|a_0|}{K'} \leq |z|$ for $R \leq 1$.

Again, for $|z| \leq R$, it is easy to see that

$$\begin{aligned} |F(z)| &\leq |a_n|R^{n+1} + R^n[(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ &\quad - \tau_2(|\beta_\mu| + |\beta_\mu|)] \\ &= M \end{aligned}$$

for $R \geq 1$

and

$$\begin{aligned} |F(z)| &\leq |a_n|R^{n+1} + R[(k_1 - 1)|\alpha_n| + (k_2 - 1)|\beta_n| + k_1|\alpha_n| + k_2|\beta_n| + |\alpha_\lambda| + |\beta_\mu| + L + M - \tau_1(|\alpha_\lambda| + |\alpha_\lambda|) \\ &\quad - \tau_2(|\beta_\mu| + |\beta_\mu|)] + (1 - R)(|\alpha_0| + |\beta_0|) \\ &= M' \end{aligned}$$

for $R \leq 1$.

Therefore, it follows, by using Lemma 2, that the number of zeros of $F(z)$ and hence $P(z)$ in

$$\frac{|a_0|}{K} \leq |z| \leq \frac{R}{c}, c > 1 \text{ is less than or equal to } \frac{1}{\log c} \log \frac{M}{|a_0|} \text{ for } R \geq 1$$

and the number of zeros of $F(z)$ and hence $P(z)$ in $\frac{|a_0|}{K'} \leq |z| \leq \frac{R}{c}, c > 1$ is less than or equal to

$$\frac{1}{\log c} \log \frac{M'}{|a_0|} \text{ for } R \leq 1.$$

That completes the proof of Theorem 2.

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